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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Waelbroeck et al.

Art Unit: Not Yet Assigned

Application No.: Not Yet Assigned

Examiner: Not Yet Assigned

Filed: Herewith

For: **BLOCK TRADING SYSTEM AND
METHOD PROVIDING PRICE
IMPROVEMENT TO AGGRESSIVE
ORDERS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

POWER OF ATTORNEY BY ASSIGNEE

Sir:

The undersigned Assignee of the entire interest in the above-identified application hereby grants power of attorney to the registered practitioners of Morgan, Lewis & Bockius LLP associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and directs that all correspondence be addressed to:

Customer Number 009629
Patent Support Unit
Morgan, Lewis & Bockius LLP
1111 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
202.739.3000 (phone)
202.739.3001 (facsimile)

Please direct all telephone calls to Steven D. Underwood at 212.309.6196.

CERTIFICATION UNDER 37 C.F.R. § 3.73(b)

e-Xchange Advantage Corporation certifies that it is Assignee of the entire right, title, and interest in the application identified above by virtue of an assignment from the inventors of the application identified above. A copy of the executed assignment is attached hereto.

The undersigned is authorized to sign on behalf of the Assignee.

e-Xchange Advantage Corporation
51 East 42nd Street, Suite 602
New York, NY 10017

Date: March 5, 2004

By: 

Printed Name: HENRI WAELBROECK

Title: VICE PRESIDENT

DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled :

BLOCK TRADING SYSTEM AND METHOD PROVIDING PRICE IMPROVEMENT TO AGGRESSIVE ORDERS
and for which a patent application:

☒

is attached hereto

☐

was filed in the United States on as Application No.

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION				
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	
			YES <input type="checkbox"/>	NO <input type="checkbox"/>
			YES <input type="checkbox"/>	NO <input type="checkbox"/>

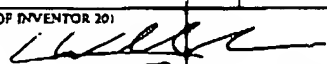
I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.


PROVISIONAL APPLICATION NUMBER	FILING DATE

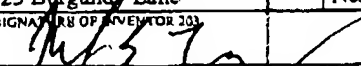
I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED
10/603,100	June 24, 2003		X	
09/870,845	May 31, 2001		X	
09/750,768	December 29, 2000		X	
09/585,049	June 1, 2000		X	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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2 0 3	FULL NAME OF INVENTOR	LAST NAME Lopez	FIRST NAME John	MIDDLE NAME E.
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		SIGNATURE OF INVENTOR 203 		DATE March 8, 2004